Atty. Dkt. No. 062834-0140

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Anthony Frank MENNINGER et al.

Title:

SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A SECURE

SUPPLY CHAIN MANAGEMENT

**FRAMEWORK** 

Appl. No.:

09/815,989

Filing Date:

03/23/2001

Examiner:

Florian M. Zeender

Art Unit:

3627

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APR 3 0 2004

GROUP 3600

## UNDER 37 CFR §1.56

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of a document known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

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## TIMING OF THE DISCLOSURE

The listed document is being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.113, or an action that otherwise closes prosecution in the application. RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

## FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p)

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

**FOLEY & LARDNER LLP** 

Customer Number: 22428

Facsimile:

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William T. Ellis

Attorney for Applicant Registration No. 26,874

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Date Submitted:

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of 3 Sheet

Complet if Kn wn				
Application Numb r	09/815,989			
Filing Date	March 23, 2001			
First Named Inv ntor	Anthony Frank MENNINGER et al.			
Group Art Unit	3627			
Examiner Name	Florian M. Zeender			
Attorney Docket Number	062834-0140			

				U.S. PATENT DOCUMENTS	3	
Examiner	Cite No.1	Cite U.S. Patent Document		Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, Lines, Where Relevant
Initials*		Number	Kind Code <sup>2</sup> (if known)	Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
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Examiner	Date
Signature	Considered

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<sup>1</sup> Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 
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STATEMENT B	Y APPLICANT

Date Submitted:

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Sheet 2 of

C mplete if Kn wn			
Application Number	09/815,989		
Filing Date	March 23, 2001		
First Nam d Inventor	Anthony Frank MENNINGER et al.		
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Examiner Name	Florian M. Zeender		
Attorney Docket Number	062834-0140		

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
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Examiner	Date	
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3 3 of Sheet

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